

THE CONNECTED GOVERNANCE MODEL

From Business Processes to Continuous Assurance

AuRisCom brings together business processes, risks, controls, assessments, testing, reporting and governance information within one connected platform.

Rather than maintaining separate inventories and assessments, organisations can establish an integrated governance model in which operational activities, risks, mitigating controls, responsibilities and assurance results remain directly connected.

One connected platform for process, risk and control governance.

CONNECTED GOVERNANCE MODEL

ORGANISATION

Group → Division → Region → Legal Entity → Business Unit

PROCESS ARCHITECTURE



Process Group → Process → Sub-Process → Operational Process

CONNECTED GOVERNANCE MODEL



Operational Process → Risk → Control

Structured Governance Foundation

Levels 1 to 3 provide the group-wide standard process architecture. Level 4 represents the entity-specific operational processes in which risks and controls are managed. Processes are assigned to the relevant organisational units, connecting operational activities with responsibility and reporting.

Standardised Inventories

Business processes, risks and controls are maintained centrally. Group-wide standards can be combined with entity-specific information where required. Ownership and relevant attributes remain directly connected to the respective governance objects.

Connected Processes, Risks and Controls

- Allocate risks to Level 4 operational processes
- Link controls directly to the risks they mitigate
- Use risks and controls across multiple operational areas
- Maintain relationships through drag-and-drop
- Preserve ownership and accountability throughout the model

One connected governance model instead of isolated Inventories, Risks and Controls

Explore the Risk Control Hub:
www.auriscom.com

For a detailed product description or a demo:
contact@aurion.ch

Connected Assurance Across the Three Lines Model

AuRisCom Risk Control Hub

From Business Processes to Continuous Assurance



1 First Line Control Assessment

The First Line assesses all controls within its area of responsibility.

- Documentation of assessment procedures and results
- The First Line assessment provides operational assurance as to whether controls are appropriately designed and operate effectively in practice.

2 Second Line Control Testing

The Second Line independently tests designated key controls.

- Test of Design
- Test of Operational Effectiveness
- Documentation of testing procedures and results
- Review and approval of testing results
- Assessment and testing results remain directly connected to the relevant controls, risks and operational processes.

3 Management Insight

Business processes, risks and controls

- Ownership and criticalities
- Key Controls
- First Line assessments
- Second Line testing
- Test of Design and Test of Effectiveness results
- Connected governance information becomes decision-relevant management insight.

4 Dependency Trees and Reports

Dependency Trees provide visibility across organisational structures, operational processes, risks, controls, ownership, First Line assessments and Second Line testing. Understand every dependency across the organisation. Reports provide a clear overview of risks, control effectiveness and assessment results, supporting informed decisions and targeted action.

AuRisCom is more than a risk register or control repository. It establishes one continuously maintained governance model in which processes remain connected to risks, risks to controls, controls to assurance activities and assurance results to management reporting.